

U.Y. Fincorp Limited

(Formerly Known as Golden Goenka Fincorp Limited)

Ref No. UYFL/092023/SE/07

Date: 29.09.2023

To,
BSE Limited
Phiroze Jeejeebhoy Tower,
Dalal Street
Mumbai - 400001
Company Code: 530579

To,
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700001
Company Code: 10017059

Dear Sir,

Sub: Disclosure under Regulation 7(2)(b) of SEBI (Prohibition of Insider Trading) Regulations, 2015

With reference to above please find attached the disclosure received from the following under Regulation 7(2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015:-

1. Udai Kothari

We request you to kindly take these on record.

Thanking You

Yours truly
For U. Y. Fincorp Limited

Dinesh Burman
(Executive Director)
(DIN: 00612904)

{Encl: As Above}

U.Y.Fincorp Limited
Registered Office: 16, Strand Road, 9th Floor Room No. 908B,
Kolkata - 700 001, West Bengal, India, ☎ +91 33 4603 2315

Corporate Office: 7th Floor, A-Wing, Vaman Techno Centre,
Marol Makwana Rd, off Andheri-Kurla Rd, Andheri (E),
Mumbai - 400 059, Maharashtra, India.
☎ +91 22 42 300 800 ☎ +91 22 42 300 844
✉ contact@uyfincorp.com, 🌐 www.uyfincorp.com
CIN: L65993WB1993PLC060377

Udai Kothari

Date: 29.09.2023

To,
U. Y. Fincorp Limited
16 Strand Road, 9th Floor
Room No. 908B,
Kolkata- 700 001

Dear Sirs,

Sub: Information under Regulation 7(2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015

I am attaching herewith the disclosure required pursuant to Regulation 7(2)(a) of SEBI (Prohibition of Insider Trading) Regulations, 2015 in the prescribed format.

This is for your information and record.

Thanking you

Yours faithfully,


(Udai Kothari)

[Encl: As Above]

FORM C
SEBI (Prohibition of Insider Trading) Regulations, 2015
[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

Name of the company: U. Y. FINCORP LIMITED

ISIN of the company: INE152C01025

Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

| 1 | 2 | Securities held prior to acquisition/disposal | | | Securities acquired/Disposed | | | Securities held post acquisition/disposal | | Date of allotment | | 13 | 14 |
|--|--|--|---------------------------|--|------------------------------|--------------|--|--|---------------------------|-------------------|------------|-------------------------------|--|
| | | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | | |
| Name, PAN, CIN/DIN, & address with contact nos. | Category of Person (Promoters / KMP / Director s/Immediate e-relative s/others etc.) | Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.) | No. and % of shareholding | Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.) | No. | Value# | Transaction Type (Buy/Sale/Pledge/Revoke/Invoke) | Type of security (For eg. - Shares, Warrants, Convertible Debentures etc.) | No. and % of shareholding | From | To | Date of intimation to company | Mode of acquisition / disposal (on rights/ preferential offer / off market/ Inter-se transfer, ESOPs etc.) |
| 1 | Promoter | Equity Shares | 4,40,19,139 (23.13%)* | Equity Shares | 1,32,500 | 36,70,344.11 | Buy | Equity Shares | 4,41,51,639 (23.20%) | 27.09.2023 | 28.09.2023 | 29.09.2023 | Open Market |
| <p>Name:- Udai Kothari PAN No. :- AQMPK0691F Residence Address:- CTS No. 72A, Kothari House, Mittal Park Layout Near Godrej Bungalow, Vile Parle, West Mumbai- 400056 Contact No.:- +022 42300800</p> | | | | | | | | | | | | | |

Note: "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

* Calculated on Paid up Capital, i.e. 19,02,38,329 equity shares

Excluding Brokerage & other charges




Details of trading in derivatives of the company by Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2).

| Trading in derivatives (Specify type of contract, Futures or Options etc) | | | | | | Exchange on which the trade was executed |
|---|-------------------------|----------------|--|----------------|--|--|
| Type of contract | Contract specifications | Buy | | Sell | | |
| | | Notional Value | Number of units (contracts * lot size) | Notional Value | Number of units (contracts * lot size) | |
| 15 | 16 | 17 | 18 | 19 | 20 | 21 |
| N.A. | | | | | | |

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Date: 29.09.2023

Place: Mumbai


(Udai Kothari)